Adjectival definiteness marking and noun-phrase internal functions

Kersti Börjars & John Payne {k.borjars, j.payne}@manchester.ac.uk

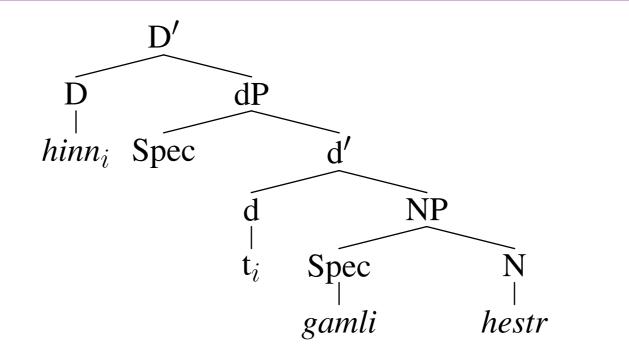
HEADLEX16

Warsaw, 25–29 July 2016

Noun phrase structure in Old Norse

- (in)definiteness marking is not required for (in)definite interpretation
- there are a number of definiteness markers
- free (*h*)*inn* and bound -*inn*
- distal demonstrative $s\dot{a}$ (most forms in \dot{p} such as $\dot{p}at$)
- proximal demonstrative $sj\dot{a}$ (most forms in *b* such as *betta*).
- *einn* is not yet an indefinite article
- 'the word order within the NP seems almost totally unconstrained by syntactic rules' (Faarlund 2004: 55)

Previous analyses of (*h*)*inn*



(Stroh-Wollin (2009: 19), see also Faarlund (2004, 2009) and Lohndal (2007))

Functional uncertainty and the AP

• if the lexical items create the grammatical function ADJ, this does not have to be introduced structurally

MANCHESTER

The University of Manchester

- we can then introduce functional uncertainty into the tree to get (19) instead of (18)
- in (19) we generalise over function GF and over category XP

NOM

(18)

(19)

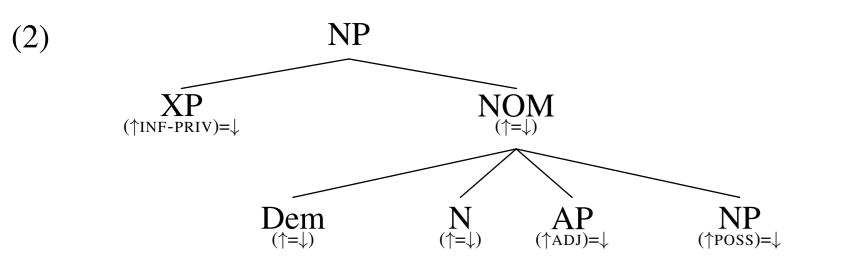
- free word order represented as flat structure
- initial information-structurally privileged position

In *Gunnlaugs saga Ormstungu* possessives generally postnominal:

faðir hans 'his father' húsfreya þín 'your wife' dóttur þinnar 'your daughter' bróður sinn 'his.REFL brother' kona hans 'his wife' frændi minn 'my kinsman'

But when contrastive prenominal:

minn faðir væri eptirbát þins fǫður (1)at that my father was after.boat you.GEN father.GEN 'that my father trailed in the wake of your father' (Gunnl 9.33)



Adjectives in Old Norse

Adjectives occur in two forms:

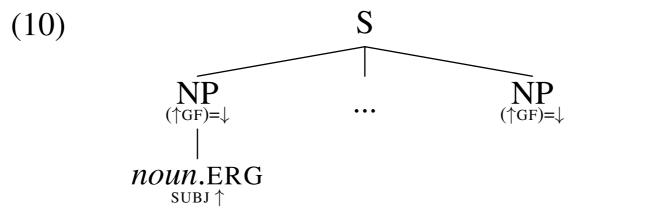
• STRONG adjectives are associated with indefiniteness, but can occur in definite noun phrases (see Delsing 1994, Stroh-Wollin & Simke 2014)

The analysis of (*h*)*inn*

- Rießler refers to elements whose role it is to 'to specify the syntactic relation between a head noun and an adjectival modifier' (2011: 57)
- (*h*)*inn* **licenses** the weak adjective as a modifier
- the licensing is **functional** rather than categorial, (*h*)*inn* allows the weak A to function as an ADJ

Functional uncertainty and inside-out designators

- compare functional uncertainty in clauses in for instance Wambaya as in (10) (Nordlinger 1998)
- case markers contribute inside-out designators which resolve uncertainty: SUBJ \uparrow in (10)



Functional uncertainty and ON adjectives

• A STR adjective is associated with an inside-out designator that cre-



NOM $\underset{(\uparrow GF)=\downarrow}{XP}$ N (↑=↓)

Functional uncertainty beyond the AP

- the annotation involving functional uncertainty in (19), permits any function within the NP
- hence we no longer need a separate node with (\uparrow POSS) as in (2)
- possessive pronouns and genitive noun phrases are associated with a designator that constructs the specific function

For instance *hans* in (20) and (21) gets the specification in (22):

- sendiboðum hinum hæstum (20)hans 3.SG.M.GEN messenger.PL HINN high.SPL (Marta, 445)
- vinstri hönd hans (21)In HINN left hand 3.SG.M.GEN (Homiliubok, 813)

hans Pron (\uparrow PRED) = pro_i (22)(↑CASE)=gen (POSS \uparrow)

- karl ok vitr (3)slægr cunning.STR man and clever.STR (Bandamenn, 784)
- WEAK adjectives occur only in definite noun phrases and always accompanied by (h)inn
- (*h*)*inn* only occurs immediately preceding a weak adjective

Regardless of whether the adjective precedes or follows the noun and repeated for each adjective:

- maðr hinn sami (4)man HINN same.WK (Grettir, 1694)
- hinir skírlífustu ok hinir postuligustu menn (5)HINN most chaste and HINN most apostolic man.PL (Marta, 805)

Even when the adjective would otherwise be immediately preceded by another definite element:

- hark ok óp (6)mikla það ið DEM HINN great.WK tumult and shouting (Alexander, 1323)
- (7)Stýrimannskona sú hin unga skipper's wife DEM HINN young.WK 'that young wife of the skipper' (Grettir, 409)
- adjectives appear not to occur recursively: co-ordination with more than one adjective

ates an ADJ (cf *slægr* in (3)):

(11)

(12)

(13)

(14)

(17)

DEF

slægr A (\pred)='cunning' $(ADJ \uparrow)$ $(\uparrow GEND) = m$ $(\uparrow NUM) = sg$ $(\uparrow CASE) = nom$

• A WK adjective has no such designator (cf *unga* in (7)):

unga A (*†*PRED)='young' $(\uparrow GEND) = f$ $(\uparrow NUM) = sg$ $(\uparrow CASE) = nom$

• But (*h*)*inn* does, and it also assigns [+DEF] to the noun phrase:

hin D ((ADJ \uparrow) DEF)=+ $(\uparrow GEND) = f$ $(\uparrow NUM) = sg$ $(\uparrow CASE) = nom$

Noun phrase with strong adjective

AP f_1 $\underset{(\uparrow=\downarrow)}{\mathbf{A}} f_2$

Hypothesised historical development

- (*h*)*inn* started out as a functional licenser of a weak adjective
- it is likely that the weak adjective was definite in origin (see for instance Stroh-Wollin (2009: 5) and Ratkus (2010: 249) for Gothic)
- over time, (*h*)*inn* came to be structurally associated with the noun phrase rather than the adjective phrase, and later it was replaced in this use by what is now *den* in the modern Mainland Scandinavian languages
- In Icelandic an adjective does not need a syntactic determiner, but in Mainland Scandinavian and Faroese it does, we argue this is structural persistence

Data and References

Data largely from IcePaHC, texts only from 1150 to 1350 (Wallenberg et al. 2011)

Curme, George O. 1910. The origin and growth of the adjective declension in Germanic. The Journal of English and Germanic Philology 9(4). 439–482.

Delsing, Lars-Olof. 1994. 'Hans sjukt ben' - om starka och svaga adjektiv i Fornsvenskan. In Nils Jörgensen, Christer Platzack & Jan Svensson (eds.), Språkbruk, grammatik och språkförändring. En festskrift till Ulf Teleman, 99–108. Lund: Lund University.

Faarlund, Jan Terje. 2004. The syntax of Old Norse. Oxford: Oxford University Press.

Faarlund, Jan Terje. 2009. On the history of definiteness marking in Scandinavian. Journal of Linguistics 45. 617–39.

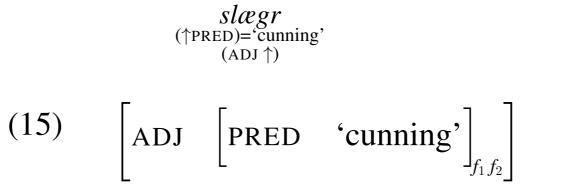
Heinrichs, Heinrich Matthias. 1954. Studien zum bestimmten Artikel in den germanischen Sprachen. Giessen: Wilhelm Schmitz Verlag.

Lohndal, Terje. 2007. On the structure and development of nominal phrases in Norwegian. In Elisabeth Stark, Elisabeth Leiss & Werner Abraham (eds.), Nominal determination. typology, context constraints, and historical emergence typology, context constraints, and historical emergence, 287-310. Amsterdam: John Benjamins.

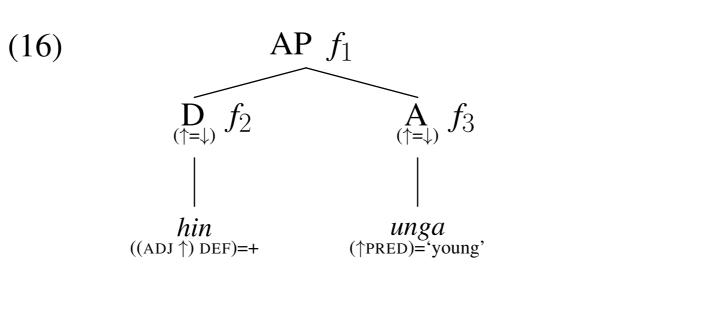
- (8) fátækjan ok útlendan sigurvegara poor.STR.ACC and foreign.STR.ACC conqueror.acc (Alexander, 564)
- (9)Lítið verk ok löðrmannlegt petty.STR.NOM work and cowardly.STR.NOM 'petty and cowardly work' (Grettir, 26)

The role of (*h*)*inn*

- 'North-Germanic and Rumanian developed an "adjectival" article, which in principle only has scope over an adjective' (Perridon & Sleeman 2011: 8)
- (*h*)inn 'seems to be just a formal element preceding adjectives with the so-called weak inflection' (Stroh-Wollin 2009: 7–9)
- association between adjective and definiteness in other varieties of early Germanic (Curme 1910, Heinrichs 1954)
- but theoretical analyses do not capture this



Noun phrase with weak adjective



Nordlinger, Rachel. 1998. Constructive case: evidence from Australian languages. Stanford, CA: CSLI Publications.

Perridon, Harry & Petra Sleeman. 2011. The noun phrase in Germanic and Romance. In Harry Perridon & Petra Sleeman (eds.), The noun phrase in Romance and Germanic, 1–21. Amsterdam: John Benjamins.

Ratkus, Artūras. 2010. The adjective inflection in Gothic and early Germanic: structure and development: Cambridge University dissertation.

Rießler, Michael. 2011. Typology and evolution of adjective attribution marking in the languages of northern Eurasia: Universität Leipzig dissertation.

Stroh-Wollin, Ulla. 2009. On the development of definiteness markers in Scandinavian. Working Papers in Scandinavian Syntax 83. 1–25.

Stroh-Wollin, Ulla & Rico Simke. 2014. Strong and weak adjectives in Old Swedish. In Petra Sleeman, Freek Van de Velde & Harry Perridon (eds.), Adjectives in germanic and romance, 95–112. Amsterdam: John Benjamins.

Wallenberg, Joel C, Anton Karl Ingason, Einar Freyr Sigurðsson & Eiríkur Rögnvaldsson. 2011. Icelandic parsed historical corpus (IcePaHC).