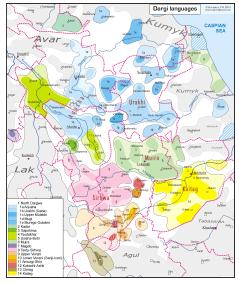
Ergative gender agreement in Dargwa "Backward Control" or feature sharing?

Oleg Belyaev
Lomonosov Moscow State University

HeadLex16, July 26, 2016

Dargwa: General information

- A group of East Caucasian languages
- SOV, ergative alignment
- Complex verb and noun morphology
- Person and gender agreement



Gender

| | SG | PL |
|---|----|----|
| М | W | b |
| F | j | |
| N | b | d |

• Gender markers are uniform across different agreement targets

3 / 28

Gender

| | SG | PL |
|---|----|----|
| М | W | b |
| F | j | |
| N | b | d |

- Gender markers are uniform across different agreement targets
- Gender agreement regularly occurs in the following contexts:
 - prefix on most verb stems
 - suffix on attributive forms
 - suffix on essive nouns and adverbs

Gender

| | SG | PL |
|---|----|----|
| М | W | b |
| F | j | |
| N | b | d |

- Gender markers are uniform across different agreement targets
- Gender agreement regularly occurs in the following contexts:
 - prefix on most verb stems
 - suffix on attributive forms
 - suffix on essive nouns and adverbs
- At clause level, the controller is the P/S (absolutive) argument:
 - paťimat j-id.až.i
 - P. F-went.out
 - 'Patimat went out.'



Gender

| | SG | PL |
|---|----|----|
| М | W | b |
| F | j | |
| N | b | d |

- Gender markers are uniform across different agreement targets
- Gender agreement regularly occurs in the following contexts:
 - prefix on most verb stems
 - suffix on attributive forms
 - suffix on essive nouns and adverbs
- At clause level, the controller is the P/S (absolutive) argument:
 - pať imat j-id.až.i
 - P. F-went.out

'Patimat went out.'

murad-li wac'a.c:i-j pat'imat j-us.aj
 M.-erg in.forest-f P. F-caught

'Murad caught **Patimat** in the forest.'



Person

• The clitic set:

| | SG | PL |
|---|------|------|
| 1 | =0 | la |
| 2 | =di | |
| 3 | (=sa | !-b) |

• The preterite set:

| | SG | PL |
|---|------|---------|
| 1 | -d | -d-a |
| 2 | -tːi | -tː-a |
| 3 | -aj, | -in, -i |

Rules of agreement resolution

(see general description in Sumbatova 2011)

• In intransitive clauses, person agreement is with P

5 / 28

Rules of agreement resolution

(see general description in Sumbatova 2011)

- In intransitive clauses, person agreement is with P
- In transitive clauses, agreement in Ashti (A vs. P) is determined by the following hierarchy:
 - 1,2 (SAP) > 3

5/28

Rules of agreement resolution

(see general description in Sumbatova 2011)

- In intransitive clauses, person agreement is with P
- In transitive clauses, agreement in Ashti (A vs. P) is determined by the following hierarchy:
 - 1,2 (SAP) > 3
- If both arguments are SAPs, the absolutive argument "wins"
 - ▶ di-l murad us-a-d me-erg M. [M]catch.pfv-pret-1
 - 'I caught Murad.' (A = 1, P = 3 \rightarrow 1)
 - muradli du usa-d 'Murad caught **me**.' (A = 3, P = 1 \rightarrow 1)
 - ▶ dil u usa-t:i 'I caught you.' (A = 1, P = $2 \rightarrow 2$)
 - u-dil du usa-d 'You caught **me**.' (A = 2, P = 1 \rightarrow 1)
 - | murad-li | rasul | us-ai 'Murad caught **Rasul**.' (A = 3, P = 3 \rightarrow 3)



Ergative agreement of the auxiliary

 However, this clear picture faces problems if we look at how the copula (which has a gender agreement slot) behaves

Ergative agreement of the auxiliary

- However, this clear picture faces problems if we look at how the copula (which has a gender agreement slot) behaves
- Sumbatova (2014) has shown that in Tanti Dargwa, the copula can agree alternatively with the absolutive or the ergative:
 - | murad-li | t'ant'i-b qali b-irq'.u.le=sa-j М.-екс in.T.-n house n-building=сор-м
 - ► murad-li t'ant'i-b | qali | b-irq'.u-le=sa-b
 - 'Murad is building a house in Tanti.'

Ergative agreement of the auxiliary

- However, this clear picture faces problems if we look at how the copula (which has a gender agreement slot) behaves
- Sumbatova (2014) has shown that in Tanti Dargwa, the copula can agree alternatively with the absolutive or the ergative:
 - murad-li t'ant'i-b qali b-irq'.u.le=sa-j М.-екс in.Т.-м house м-building=сор-м
 - murad-li t'ant'i-b qali b-irq'.u-le=sa-b
 'Murad is building a house in Tanti.'
- The controller is determined by topicality

Ergative agreement of the auxiliary

- However, this clear picture faces problems if we look at how the copula (which has a gender agreement slot) behaves
- Sumbatova (2014) has shown that in Tanti Dargwa, the copula can agree alternatively with the absolutive or the ergative:
 - murad-li t'ant'i-b qali b-irq'.u.le=sa-j М.-екс in.T.-n house n-building=сор-м
 - murad-li t'ant'i-b qali b-irq'.u-le=sa-b
 'Murad is building a house in Tanti.'
- The controller is determined by topicality
- Cf. also Sumbatova and Lander (2015, Chapter 5)

- Notably, in Tanti both A and P gender agreement are available even if one of the arguments is a SAP (although P agreement requires a certain "emphasis")
 - You are keeping the girl at home.' r-alt.un.ne=sa-j=de
 thou:ERG girl in.house-F F-keeping=COP-M=2SG
 'You are keeping the girl at home.'
 - ► ʕaʿli rurs:i quli-r r-alt.un.ne=sa-r=de
 - 'You are keeping the girl home alone.' (Sumbatova 2014)

- Notably, in Tanti both A and P gender agreement are available even if one of the arguments is a SAP (although P agreement requires a certain "emphasis")
 - Ya'li rurs:i quli-r r-alt.un.ne=sa-j=de thou:erg girl in.house-f f-keeping=cop-m=2sg
 - 'You are keeping the girl at home.'
 - Ya'li rurs:i quli-r r-alt.un.ne=sa-r=de
 You are keeping the girl home alone.' (Sumbatova 2014)
- Unfortunately, there is no data on what happens when both arguments are SAPs, or when a SAP is in the direct object position

• Sumbatova's solution is to divide the clause into two layers (roughly IP and VP) and situate a zero absolutive argument in the upper layer:

```
• \left[ \Delta_{i(ABS)} \left[ \mathbf{murad-li}_{i} \text{ t'ant'i-b qali b-irq'-u-le} \right] = \mathbf{sa-j} \right]
• \left[ \Delta_{i(ABS)} \left[ \mathbf{murad-li t'ant'i-b qali}_{i} \mathbf{b-irq'-u-le} \right] = \mathbf{sa-b} \right]
```

• Sumbatova's solution is to divide the clause into two layers (roughly IP and VP) and situate a zero absolutive argument in the upper layer:

```
• \left[ \Delta_{i(ABS)} \left[ \mathbf{murad-li_i} \text{ t'ant'i-b qali b-irq'-u-le} \right] = sa-\mathbf{j} \right]
• \left[ \Delta_{i(ABS)} \left[ \mathbf{murad-li t'ant'i-b qali_i b-irq'-u-le} \right] = sa-\mathbf{b} \right]
```

- An additional confirmation of this idea is that clause-peripheral adverbs may agree with A:
 - ► [ma°ħa°mmad.li.š:u-w / -b [rasul-li dig b-uk:-un-ne] =sa-j] chez.M.-M -N R.-ERG meat N-eating COP-M 'At Muhammad's place **Rasul** is eating **meat**.' (Sumbatova 2014)

 Sumbatova's solution is to divide the clause into two layers (roughly IP and VP) and situate a zero absolutive argument in the upper layer:

```
• \left[ \Delta_{i(ABS)} \left[ \mathbf{murad-li_i} \text{ t'ant'i-b qali b-irq'-u-le} \right] = sa-\mathbf{j} \right]
• \left[ \Delta_{i(ABS)} \left[ \mathbf{murad-li t'ant'i-b qali_i b-irq'-u-le} \right] = sa-\mathbf{b} \right]
```

- An additional confirmation of this idea is that clause-peripheral adverbs may agree with A:
 - ► [ma°ħa°mmad.li.š:u-w / -b [rasul-li dig b-uk:-un-ne] =sa-j] chez.M.-m -N R.-ERG meat N-eating COP-M 'At Muhammad's place **Rasul** is eating **meat**.' (Sumbatova 2014)
- In general, the analysis seems justified for Tanti based on available data

• Sumbatova's solution is to divide the clause into two layers (roughly IP and VP) and situate a zero absolutive argument in the upper layer:

```
 \begin{array}{l} & \left[ \begin{array}{c} \Delta_{i(ABS)} \left[ \begin{array}{c} \textbf{murad-li}_i \text{ t'ant'i-b qali b-irq'-u-le} \end{array} \right] = sa-\textbf{j} \end{array} \right] \\ & \left[ \begin{array}{c} \Delta_{i(ABS)} \left[ \begin{array}{c} \textbf{murad-li t'ant'i-b qali}_i \text{ b-irq'-u-le} \end{array} \right] = sa-\textbf{b} \end{array} \right] \end{array}
```

- An additional confirmation of this idea is that clause-peripheral adverbs may agree with A:
 - ► [ma°ha°mmad.li.š:u-w / -b [rasul-li dig b-uk:-un-ne] =sa-j] chez.M.-M -N R.-ERG meat N-eating COP-M 'At Muhammad's place **Rasul** is eating **meat**.' (Sumbatova 2014)
- In general, the analysis seems justified for Tanti based on available data
- Ashti behaves in the same way in most respects, but some additional data show that this analysis is not applicable

• Ashti does not use a copula in the 3rd person in non-negative contexts

- Ashti does not use a copula in the 3rd person in non-negative contexts
- Therefore, I will use existential-based forms
 - murad ʔu̯q´`.u̯n li-w
 - M. [м]going be-м[3]
 - 'Murad is going.'
 - ▶ du ʔu̯q'`.u̯n li-w=da
 - I [M] going be-M=1
 - 'I am going.'

- Ashti does not use a copula in the 3rd person in non-negative contexts
- Therefore, I will use existential-based forms
 - murad ?uq".un li-w
 M. [M]going be-M[3]
 'Murad is going.'
 - ▶ du ʔu̪q'`.un li-w=da
 - I [M]going be-M=1
 - 'I am going.'
- In Sumbatova (2014), they are shown to have the same behaviour as ordinary periphrastic forms

- Ashti does not use a copula in the 3rd person in non-negative contexts
- Therefore, I will use existential-based forms
 - murad ?uq".un li-w
 M. [M]going be-M[3]
 'Murad is going.'
 - ▶ du ʔu̪q´ʿ.un li-w=da
 - I [м]going be-м=1
 - 'I am going.'
- In Sumbatova (2014), they are shown to have the same behaviour as ordinary periphrastic forms
- Using existentials has an important advantage: there is a gender marker in each person

Auxiliary agreement

 Just like in Tanti, the auxiliary can agree in gender with A in the 3rd person

```
R.-ERG P. F-catching be-F be-M
```

'Rasul is catching Patimat.'

Auxiliary agreement

- Just like in Tanti, the auxiliary can agree in gender with A in the 3rd person
 - R.-ERG P. F-catching be-F be-M
 'Rasul is catching Patimat.'
- · Again, as in Tanti, this seems to correlate with topicality

Auxiliary agreement

- Just like in Tanti, the auxiliary can agree in gender with A in the 3rd person
 - R.-ERG P. F-catching be-F be-M

 'Rasul is catching Patimat.'
- Again, as in Tanti, this seems to correlate with topicality
- However, more research is needed in order to see which factors specifically influence the choice of agreement controller

Kubachi examples

(stories about Mullah Nasruddin, Šamov 1994)

- na q:ala.l saʁ.ib, wagzal.li-b čumadan sa now to.Mamedkala when.he.reached at.station-n bag one hambal.li.c:e b-ič:.ib=sa-w to.porter n-gave=cop-m
 - 'When he reached Mamedkala, at the station he gave his bag to a porter.'
 - · jiš.te \(\text{\chiulzin} \) d-ač:.ib \(k^wi \cdot d > ič.ib.li = sa-d \) malla.c:e these \(bag \) \(NPL-having.found \(return \cdot NPL > = COP-NPL \) to.Mullah

'Having found the bag, they returned it to the Mullah.'

Kubachi examples

(stories about Mullah Nasruddin, Šamov 1994)

- na q:ala.l saʁ.ib, wagzal.li-b čumadan sa now to.Mamedkala when.he.reached at.station-n bag one hambal.li.c:e b-ič:.ib=sa-w to.porter n-gave=cop-м
 - 'When he reached Mamedkala, at the station he gave his bag to a porter.'
 - $\begin{tabular}{lll} \bullet & jiš.te & $\chi ul zin \\ these & bag & NPL-having.found return $\langle NPL \rangle = COP-NPL to. Mullah \\ \end{tabular}$
 - 'Having found the bag, they returned it to the Mullah.'
- du-dil ha.?.ila-žu-d si.k'al.dix ?a:?a-dil duč:i.al

 I-ERG said-ATTR-NPL something hen-ERG at.night
 ha?.ib-žu-d=sa-d
 said-ATTR-NPL=COP-NPL

 (Mullah, why has the judge acquitted you without you even saying

anything?) 'At night the hen has already said everything for me.'

- wah, malla, si uk'.u.t.nu, allah-le duna e:k bac.le oh mullah what art.thou.saying Allah-erg world six in.month a-sa-b=q'al, e:k:-il sa-b b-a:q'.ib-zi-b

 NEG-COP-N=PTCL six-day COP-N N-done-ATTR-N

 'Oh, Mullah, what are you saying, God created the world in six days, not months!'
- e:k:-il b-a:q'.ib-zi-w=sa-w b-uk'.ne dammi=ja=q'el six-day N-done-ATTR-M=COP-M N-that.is.said to.me=also=PTCL b-ak'u.q'a.nnu N-is.known

'I do also know that it is said that He has created the world in six days.' (... but would you believe me if I told you that?)

 So far, everything seems to behave according to the zero absolutive hypothesis

- So far, everything seems to behave according to the zero absolutive hypothesis
- But when one of the arguments is 1st or 2nd person, and the other is 3rd person, gender agreement can only be with the SAP argument (corresponding to person agreement)
 - ▶ di-l pat'imat j-u:s.u li-w=da / *li-j=da / *li-w / *li-j me-ERG P. F-catching be-M=1 be-F=1 be-M be-F
 ¹I (m.) am catching Patimat.' (1 > 3)
 - pat'imat-li du u:s.u li-w=da / *li-j=da / *li-w / *li-j P.-ERG I [M]catching be-M=1 be-F=1 be-M be-F 'Patimat is catching me (m.).'



- Similarly, when both arguments are SAPs, gender agreement can only be with the absolutive (again, like person agreement)
 - di-l u j-u:s.u li-j=di / *li-w=di / *li-w=da / *li-j=da me-ERG thou F-catching be-F=2 be-M=2 be-M=1 be-F=1 'l (m.) am catching you (f.).'
 - u-dil du u:s.u li-w=da / *li-j=da / *li-j=di / *li-w=di thee-erg I [M]catching be-M=1 be-F=1 be-F=2 be-M=2 'You (f.) are catching me (m.).'

- Similarly, when both arguments are SAPs, gender agreement can only be with the absolutive (again, like person agreement)
 - di-l u j-u:s.u li-j=di / *li-w=di / *li-w=da / *li-j=da me-ERG thou F-catching be-F=2 be-M=2 be-M=1 be-F=1 'l (m.) am catching you (f.).'
 - u-dil du u:s.u li-w=da / *li-j=da / *li-j=di / *li-w=di thee-ERG I [M]catching be-M=1 be-F=1 be-F=2 be-M=2 'You (f.) are catching me (m.).'
- This does not seem to agree well with the idea of a zero absolutive argument: why would it behave in a different way for SAPs?

Adverb agreement

- Ashti still allows peripheral adverbs to agree in the ergative
 - wac'a.c:i-w / wac'a-c:i-j rasul-li pat'imat j-u:s-u li-w in.forest-M in.forest-F R.-ERG P. F-catching be-M 'In the forest Rasul is catching Patimat.'

Adverb agreement

- Ashti still allows peripheral adverbs to agree in the ergative
 - wac'a.c:i-w / wac'a-c:i-j rasul-li pat'imat j-u:s-u li-w in.forest-M in.forest-F R.-ERG P. F-catching be-M 'In the forest Rasul is catching Patimat.'
- But this phenomenon seems to be completely independent from auxiliary agreement: the adverb may agree with A even when the auxiliary agrees with P
 - ▶ wac'a.c:i-w / wac'a.c:i-j rasul-li | pat'imat | j-u:s.u li-j
 - "null absolutive" coreferent with P, A agreement should be impossible!

Adverb agreement

- Ashti still allows peripheral adverbs to agree in the ergative
 - wac'a.c:i-w / wac'a-c:i-j rasul-li pat'imat j-u:s-u li-w in.forest-M in.forest-F R.-ERG P. F-catching be-M 'In the forest Rasul is catching Patimat.'
- But this phenomenon seems to be completely independent from auxiliary agreement: the adverb may agree with A even when the auxiliary agrees with P
 - wac'a.c:i-w / wac'a.c:i-j rasul-li pat'imat j-u:s.u li-j
 - "null absolutive" coreferent with P, A agreement should be impossible!
- A better explanation is that such adverbs are in fact secondary predicates ('while being in the forest...')

Adverb agreement

- Ashti still allows peripheral adverbs to agree in the ergative
 - wac'a.c:i-w / wac'a-c:i-j rasul-li pat'imat j-u:s-u li-w in.forest-M in.forest-F R.-ERG P. F-catching be-M 'In the forest Rasul is catching Patimat.'
- But this phenomenon seems to be completely independent from auxiliary agreement: the adverb may agree with A even when the auxiliary agrees with P
 - ▶ wac'a.c:i-w / wac'a.c:i-j rasul-li | pat'imat | j-u:s.u li-j
 - "null absolutive" coreferent with P, A agreement should be impossible!
- A better explanation is that such adverbs are in fact secondary predicates ('while being in the forest...')
- Cf. the fact that when the adverb agrees in the ergative, it is preferable to use -mu:til 'when':
 - wac'a.c:i-w-mu:til rasul-li pat'imat j-u:s.u li-w



- Secondary predication also explains why "split control" of agreement on the adverb is possible in Tanti:
 - → dars.li.ja-b Δ_{i+j} [ja=ra musa-li $_i$ gezet:e d-uč'.un.ne], [ja=ra at.lesson-hpl or=add M.-erg newspapers NPL-reading or=add pat'imat-li $_j$ šajt'un.t.a.lla surrat:e d-irq'.u.le] =sa-b P.-erg of.devils images NPL-doing=cop-hpl 'At the lesson either Musa reads newspapers or Patimat draws devils.' (Sumbatova 2014)

- Secondary predication also explains why "split control" of agreement on the adverb is possible in Tanti:
 - ▶ dars.li.ja-b Δ_{i+j} [ja=ra musa-li $_i$ gezet:e d-uč'.un.ne], [ja=ra at.lesson-hpl or=add M.-erg newspapers npl-reading or=add pat'imat-li $_j$ šajt'un.t.a.lla surrat:e d-irq'.u.le]=sa-b P.-erg of.devils images npl-doing=cop-hpl 'At the lesson either Musa reads newspapers or Patimat draws devils.' (Sumbatova 2014)
- I could not elicit such examples for Ashti, but this could be due to pragmatic reasons

- Secondary predication also explains why "split control" of agreement on the adverb is possible in Tanti:
 - ▶ dars.li.ja-b Δ_{i+j} [ja=ra musa-li $_i$ gezet:e d-uč'.un.ne], [ja=ra at.lesson-hpl or=add M.-erg newspapers npl-reading or=add pat'imat-li $_j$ šajt'un.t.a.lla surrat:e d-irq'.u.le] =sa-b P.-erg of.devils images npl-doing=cop-hpl 'At the lesson either Musa reads newspapers or Patimat draws devils.' (Sumbatova 2014)
- I could not elicit such examples for Ashti, but this could be due to pragmatic reasons
- Sumbatova's explanation is that the zero absolutive has the A participants of the coordinated lower clauses as its split antecedents

- Secondary predication also explains why "split control" of agreement on the adverb is possible in Tanti:
 - ▶ dars.li.ja-b Δ_{i+j} [ja=ra musa-li $_i$ gezet:e d-uč'.un.ne], [ja=ra at.lesson-hpl or=add M.-erg newspapers npl-reading or=add pat'imat-li $_j$ šajt'un.t.a.lla surrat:e d-irq'.u.le] =sa-b P.-erg of.devils images npl-doing=cop-hpl 'At the lesson either Musa reads newspapers or Patimat draws devils.' (Sumbatova 2014)
- I could not elicit such examples for Ashti, but this could be due to pragmatic reasons
- Sumbatova's explanation is that the zero absolutive has the A participants of the coordinated lower clauses as its split antecedents
- But it seems equally plausible to assume that we deal with a secondary predication whose zero subject gets its reference according to the standard rules

 There seems to be no positive evidence in favour of the "Backward Control hypothesis" in Ashti

- There seems to be no positive evidence in favour of the "Backward Control hypothesis" in Ashti
- Rather, the controller of gender agreement on the auxiliary is identical to the controller of person agreement
 - a similar line of reasoning can be found as early as Magometov (1963, 155)

- There seems to be no positive evidence in favour of the "Backward Control hypothesis" in Ashti
- Rather, the controller of gender agreement on the auxiliary is identical to the controller of person agreement
 - a similar line of reasoning can be found as early as Magometov (1963, 155)
- Extrapolated to the 3rd person, it means that there is also competition between 3rd person controllers

```
SAP vs. non-SAP SAP wins
SAP vs. SAP P argument wins
non-SAP vs. non-SAP "topic" wins
```

- There seems to be no positive evidence in favour of the "Backward Control hypothesis" in Ashti
- Rather, the controller of gender agreement on the auxiliary is identical to the controller of person agreement
 - ▶ a similar line of reasoning can be found as early as Magometov (1963, 155)
- Extrapolated to the 3rd person, it means that there is also competition between 3rd person controllers

SAP vs. non-SAP SAP wins SAP vs. SAP P argument wins non-SAP vs. non-SAP "topic" wins

• We can thus modify the "person" hierarchy:

Person $1.2 > 3_{TOP} > 3$ Grammatical relations P > A

- There seems to be no positive evidence in favour of the "Backward Control hypothesis" in Ashti
- Rather, the controller of gender agreement on the auxiliary is identical to the controller of person agreement
 - a similar line of reasoning can be found as early as Magometov (1963, 155)
- Extrapolated to the 3rd person, it means that there is also competition between 3rd person controllers

SAP vs. non-SAP SAP wins SAP vs. SAP P argument wins non-SAP vs. non-SAP "topic" wins

• We can thus modify the "person" hierarchy:

Person
$$1.2 > 3_{TOP} > 3$$

Grammatical relations P > A

The agreement rule stays the same



- There seems to be no positive evidence in favour of the "Backward Control hypothesis" in Ashti
- Rather, the controller of gender agreement on the auxiliary is identical to the controller of person agreement
 - a similar line of reasoning can be found as early as Magometov (1963, 155)
- Extrapolated to the 3rd person, it means that there is also competition between 3rd person controllers

SAP vs. non-SAP SAP wins SAP vs. SAP P argument wins non-SAP vs. non-SAP "topic" wins

• We can thus modify the "person" hierarchy:

Person
$$1.2 > 3_{TOP} > 3$$

Grammatical relations P > A

- The agreement rule stays the same
- This is reminiscent of a typical proximate-obviative system (cf. e.g. Aissen 1997)

Problems for the traditional view

• A problem remains: "person" agreement seems to involve not only person and number, but also gender



- A problem remains: "person" agreement seems to involve not only person and number, but also gender
- But the terms "person" and "gender" agreement are misleading anyway

- A problem remains: "person" agreement seems to involve not only person and number, but also gender
- But the terms "person" and "gender" agreement are misleading anyway
- "Gender" agreement may involve person (cf. Corbett 2013 for Archi)



- A problem remains: "person" agreement seems to involve not only person and number, but also gender
- But the terms "person" and "gender" agreement are misleading anyway
- "Gender" agreement may involve person (cf. Corbett 2013 for Archi)
- In Dargwa (incl. Ashti), the same phenomenon as in Archi occurs: the neuter pl. marker -d- is used for 1/2PL arguments

- A problem remains: "person" agreement seems to involve not only person and number, but also gender
- But the terms "person" and "gender" agreement are misleading anyway
- "Gender" agreement may involve person (cf. Corbett 2013 for Archi)
- In Dargwa (incl. Ashti), the same phenomenon as in Archi occurs: the neuter pl. marker -d- is used for 1/2PL arguments
 - rasul.li.j [du] j-ulħ.i̯-d R.DAT I F-saw-1 'Rasul saw me (f.).'

- A problem remains: "person" agreement seems to involve not only person and number, but also gender
- But the terms "person" and "gender" agreement are misleading anyway
- "Gender" agreement may involve person (cf. Corbett 2013 for Archi)
- In Dargwa (incl. Ashti), the same phenomenon as in Archi occurs: the neuter pl. marker -d- is used for 1/2PL arguments
 - rasul.li.j [du] j-ulħ.i̯-d R.DAT I F-saw-1 'Rasul saw me (f.).'
 - rasul.li.j [nus:a] d-ulh.i-d-a / *b-ulh.i-d-a R.DAT we 1PL-saw-1-PL HPL-saw-1-PL 'Rasul saw us.'

- A problem remains: "person" agreement seems to involve not only person and number, but also gender
- But the terms "person" and "gender" agreement are misleading anyway
- "Gender" agreement may involve person (cf. Corbett 2013 for Archi)
- In Dargwa (incl. Ashti), the same phenomenon as in Archi occurs: the neuter pl. marker -d- is used for 1/2PL arguments
 - rasul.li.j [du] j-ulħ.i̯-d R.DAT I F-saw-1 'Rasul saw me (f.).'
 - rasul.li.j [nus:a] d-ulh.i-d-a / *b-ulh.i-d-a R.DAT we 1PL-saw-1-PL HPL-saw-1-PL 'Rasul saw us.'
 - rasul.li.j [du=ba murad] d-ulħ.i-d-a / *b-ulħ.i-d-a R.dat I=and M. 1pl-saw-1-pl hpl-saw-1-pl
 - 'Rasul saw me and Murad.'



- A problem remains: "person" agreement seems to involve not only person and number, but also gender
- But the terms "person" and "gender" agreement are misleading anyway
- "Gender" agreement may involve person (cf. Corbett 2013 for Archi)
- In Dargwa (incl. Ashti), the same phenomenon as in Archi occurs: the neuter pl. marker -d- is used for 1/2PL arguments
 - rasul.li.j [du] j-ulħ.i̯-d R.DAT I F-saw-1 'Rasul saw me (f.).'
 - rasul.li.j [nus:a] d-ulh.i-d-a / *b-ulh.i-d-a R.DAT we 1PL-saw-1-PL HPL-saw-1-PL 'Rasul saw us.'
 - ► rasul.li.j [du=ba murad] d-ulħ.i-d-a / *b-ulħ.i-d-a R.dat I=and M. 1pl-saw-1-pl hpl-saw-1-pl 'Rasul saw me and Murad.'
- Last example: "gender" agreement does genuinely mark person features

 The solution is to move away from a view of agreement tied to feature types

- The solution is to move away from a view of agreement tied to feature types
 - standard description of Dargwa agreement: "gender agreement is with the absolutive, person agreement is hierarchical"



- The solution is to move away from a view of agreement tied to feature types
 - standard description of Dargwa agreement: "gender agreement is with the absolutive, person agreement is hierarchical"
 - therefore, when we see gender agreement with the ergative, we try to show that there is in fact an absolutive there somewhere



- The solution is to move away from a view of agreement tied to feature types
 - standard description of Dargwa agreement: "gender agreement is with the absolutive, person agreement is hierarchical"
 - therefore, when we see gender agreement with the ergative, we try to show that there is in fact an absolutive there somewhere
 - and when we see "gender" markers reflecting person features, we try to handwave it as a "special gender" for certain pronouns

- The solution is to move away from a view of agreement tied to feature types
 - standard description of Dargwa agreement: "gender agreement is with the absolutive, person agreement is hierarchical"
 - therefore, when we see gender agreement with the ergative, we try to show that there is in fact an absolutive there somewhere
 - and when we see "gender" markers reflecting person features, we try to handwave it as a "special gender" for certain pronouns
- Rather, agreement always involves all features
 - there is only a target and a controller

- The solution is to move away from a view of agreement tied to feature types
 - standard description of Dargwa agreement: "gender agreement is with the absolutive, person agreement is hierarchical"
 - therefore, when we see gender agreement with the ergative, we try to show that there is in fact an absolutive there somewhere
 - and when we see "gender" markers reflecting person features, we try to handwave it as a "special gender" for certain pronouns
- Rather, agreement always involves all features
 - there is only a target and a controller
 - which of the features are actually reflected on the target is a morphological issue
 - e.g. normally only the 3rd person marker has a "gender" slot, but existential forms have it in all persons
 - * sg. "gender" markers are unmarked for person, while plural markers are marked (1/2 vs. 3)



- The solution is to move away from a view of agreement tied to feature types
 - standard description of Dargwa agreement: "gender agreement is with the absolutive, person agreement is hierarchical"
 - therefore, when we see gender agreement with the ergative, we try to show that there is in fact an absolutive there somewhere
 - and when we see "gender" markers reflecting person features, we try to handwave it as a "special gender" for certain pronouns
- Rather, agreement always involves all features
 - there is only a target and a controller
 - which of the features are actually reflected on the target is a morphological issue
 - * e.g. normally only the 3rd person marker has a "gender" slot, but existential forms have it in all persons
 - * sg. "gender" markers are unmarked for person, while plural markers are marked (1/2 vs. 3)
- Each clause has two domains and two targets (Sumbatova 2014), so we can define separate rules for each



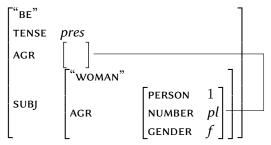
Feature sharing

• Haug and Nikitina 2015: symmetric feature sharing (LFG)



Feature sharing

- Haug and Nikitina 2015: symmetric feature sharing (LFG)
- ¡Qué desgraciad-as somos las mujer-es! 'How unfortunate we women are!' (Ackema and Neeleman 2013)



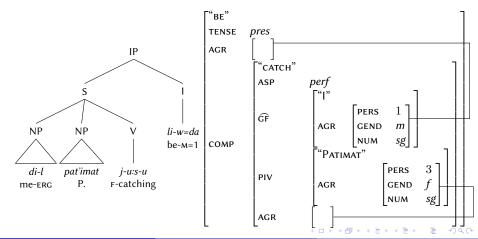
Feature sharing in Dargwa

• We can handle agreement in Dargwa in a similar way



Feature sharing in Dargwa

- We can handle agreement in Dargwa in a similar way
- E.g., a clause with ergative "person" agreement will have the following c- and f-structures (ergativity as in Falk 2006, A = GF, P/S = PIV):



Motivation for the two tiers

 There is nothing that would force us to use AGR sharing for both person and gender agreement



Motivation for the two tiers

- There is nothing that would *force* us to use AGR sharing for both person and gender agreement
- In fact, since gender is always with ABS, we could do it the old-fashioned way, through feature co-specification, and keep AGR only for the person (hierarchical) type



Motivation for the two tiers

- There is nothing that would *force* us to use AGR sharing for both person and gender agreement
- In fact, since gender is always with ABS, we could do it the old-fashioned way, through feature co-specification, and keep AGR only for the person (hierarchical) type
- This allows us to do away with the two tiers of clause structure

- However, there does seem to be independent evidence in favour of a two-tier analysis:
 - ergative agreement of adverbs only possible at clause edge (see above)

- However, there does seem to be independent evidence in favour of a two-tier analysis:
 - ergative agreement of adverbs only possible at clause edge (see above)
 - only clause-edge converbs can be different-subject:
 - * [ʔali-dil a:s b-ič:.ib], rasul uniwersitet-li ke:χw.i A.-erg money N-having.given R. university-IN[LAT] entered '[Ali gave money], and Rasul entered the university'

- However, there does seem to be independent evidence in favour of a two-tier analysis:
 - ergative agreement of adverbs only possible at clause edge (see above)
 - only clause-edge converbs can be different-subject:
 - * [ʔa̞li-dil a:s b-ič:.ib] , rasul uniwersitet-li ke:χw.i A.-erg money n-having.given R. university-in[lat] entered
 - '[Ali gave money], and Rasul entered the university'
 - * rasul, [ʔa̯li-dil a:s **b-ič:.ib**], uniwersitet-li ke:χ^w.i

- However, there does seem to be independent evidence in favour of a two-tier analysis:
 - ergative agreement of adverbs only possible at clause edge (see above)
 - only clause-edge converbs can be different-subject:
 - * [ʔa̞li-dil a:s b-ič:.ib], rasul uniwersitet-li ke:χw.i A.-erg money n-having.given R. university-in[lat] entered

'[Ali gave money], and Rasul entered the university'

- * rasul, [ʔa̯li-dil a:s **b-ič:.ib**], uniwersitet-li ke:χ^w.i
- * OK rasul, [a:s **b-ič:.ib**], uniwersitet-li ke:χ^w.i

'[Rasul gave money] and entered the university.'

★ OK uniwersitet-li, [rasul-li a:s **b-ič:.ib**], ke: χ^w .i

- However, there does seem to be independent evidence in favour of a two-tier analysis:
 - ergative agreement of adverbs only possible at clause edge (see above)
 - only clause-edge converbs can be different-subject:
 - * [?ali-dil a:s b-ič:.ib], rasul uniwersitet-li ke:χw.i A.-erg money n-having.given R. university-in[lat] entered

'[Ali gave money], and Rasul entered the university'

- * rasul, [ʔa̯li-dil a:s **b-ič:.ib**], uniwersitet-li ke:χ^w.i
- * OK rasul, [a:s **b-ič**:.**ib**], uniwersitet-li ke:χ^w.i

'[Rasul gave money] and entered the university.'

- * OK uniwersitet-li, [rasul-li a:s **b-ič:.ib**], ke:χ^w.i
- second-level perphrastic forms, with the auxiliary having its own TAM features
 - * murad-li rasul ulh-an-ni uχ-ij=di
 M.-erg R. [м]see.ipfv-fut-3 [м]be.pfv-inf=pst
 - 'Murad probably would have seen Rasul.'
- two negation types
 - * at:.ij du a-w-ik:.ul j-uxut:i, j-at<j>iš:.i jani.j thee:DAT I NEG-M-loving F-if.you.are F-go.away<F> from.here
 - * at:.ij du w-ik:.ul a-j-uχut:i, j-at<j>iš:.i jani.j
 - 'If you do **not** love me, go away.'

 This can be achieved by using the following lexical entries for the verb and auxiliary:

► IP
$$\rightarrow$$
 S I
$$(\uparrow comp) = \downarrow \uparrow = \downarrow$$
► S \rightarrow NP* V
$$(\uparrow cf) = \downarrow \uparrow = \downarrow$$
► b-i:q-ul V (\uparrow PRED) = 'do(\overleftarrow{cf} PIV)'
$$(\uparrow AGR) = (\uparrow PIV AGR)$$

$$(\uparrow AGR GEND) = _{c} n$$

$$(\uparrow AGR NUM) = _{c} sg$$

 This can be achieved by using the following lexical entries for the verb and auxiliary:

```
 \vdash \mathsf{IP} \to \underset{(\uparrow \mathsf{comp}) = \downarrow}{\mathsf{S}} \underset{\uparrow = \downarrow}{\mathsf{I}} 
S \rightarrow NP* V
• b-i:q-ul V (\uparrow PRED) = 'do\langle \widehat{GF} PIV \rangle'
                                 (\uparrow AGR) = (\uparrow PIV AGR)
                                  (\uparrow AGR GEND) = c n
                                 (\uparrow AGR NUM) = _{c} sg
      li-w=da I (\uparrow PRED) = 'be(COMP)'
                                 \{(\uparrow AGR) = (\uparrow COMP \widehat{GF} AGR) \mid
                                          (\uparrow AGR) = (\uparrow COMP PIV AGR)
                                 (\uparrow AGR PERS) = 1
                                 (\uparrow AGR GEND) = _{c} m
                                 (\uparrow AGR NUM) = _{c} sg
```

• My earlier analysis in Belyaev (2013) has to be only slightly modified to be compatible with this approach



- My earlier analysis in Belyaev (2013) has to be only slightly modified to be compatible with this approach
- The input should be an incomplete f-structure (without the AGR) of the higher stratum



- My earlier analysis in Belyaev (2013) has to be only slightly modified to be compatible with this approach
- The input should be an incomplete f-structure (without the AGR) of the higher stratum
- The following constraints then handle the choice of controller:

```
\begin{array}{l} \mathsf{AGR-2} \  \, (\uparrow \mathsf{AGR} \, \mathsf{PERS}) = 2 \\ \mathsf{AGR-1} \  \, (\uparrow \mathsf{AGR} \, \mathsf{PERS}) = 1 \\ \mathsf{AGR-3}_\mathsf{TOP} \  \, (\uparrow \mathsf{AGR} \, \mathsf{PERS}) = 3 \\ \qquad \qquad \qquad ((\mathsf{AGR}(\uparrow \mathsf{AGR}))_\sigma \, \mathsf{DF}) = \mathsf{TOPIC} \\ \mathsf{AGR-GF} \  \, (\widehat{\mathsf{GF}} \, \mathsf{AGR}(\uparrow \mathsf{AGR})) \\ \mathsf{AGR-PIV} \  \, (\mathsf{PIV} \, \mathsf{AGR}(\uparrow \mathsf{AGR})) \end{array}
```

- My earlier analysis in Belyaev (2013) has to be only slightly modified to be compatible with this approach
- The input should be an incomplete f-structure (without the AGR) of the higher stratum
- The following constraints then handle the choice of controller:

```
\begin{array}{l} \mathsf{AGR-2} \  \, (\uparrow \mathsf{AGR} \, \mathsf{PERS}) = 2 \\ \mathsf{AGR-1} \  \, (\uparrow \mathsf{AGR} \, \mathsf{PERS}) = 1 \\ \mathsf{AGR-3}_\mathsf{TOP} \  \, (\uparrow \mathsf{AGR} \, \mathsf{PERS}) = 3 \\ \qquad \qquad \qquad ((\mathsf{AGR}(\uparrow \mathsf{AGR}))_\sigma \, \mathsf{DF}) = \mathsf{TOPIC} \\ \mathsf{AGR-GF} \  \, (\widehat{\mathsf{GF}} \, \mathsf{AGR}(\uparrow \mathsf{AGR})) \\ \mathsf{AGR-PIV} \  \, (\mathsf{PIV} \, \mathsf{AGR}(\uparrow \mathsf{AGR})) \end{array}
```

- The ranking for Ashti: AGR-1 \vee AGR-2 > AGR-3_{TOP} > AGR-PIV > AGR- \widehat{GF}
 - on constraint disjunction see Crowhurst and Hewitt (1997)



Some sample tableaux

• $A = 1p \text{ m sg}, P = 3p_{TOP} \text{ f sg}$

| di-l pat'imat | AGR-1 ∨ AGR-2 | AGR-3 _{TOP} | Agr-piv | Agr-GF |
|---------------|---------------|----------------------|---------|--------|
| j-us-u | | | | |
| ☞ li-w=da (A) | | * | * | |
| li-j (P) | *! | | | * |

Some sample tableaux

• $A = 1p \text{ m sg}, P = 3p_{TOP} \text{ f sg}$

| AGR-1 ∨ AGR-2 | $AGR-3_{TOP}$ | Agr-piv | Agr-GF |
|---------------|---------------|---------|--------|
| | | | |
| | * | * | |
| *! | | | * |
| | | * | * * |

 $\bullet A = 1p m sg, P = 2p f sg$

| di-l u j-us-u | Agr-1 ∨ Agr-2 | AGR-3 _{TOP} | Agr-piv | Agr-GF |
|---------------|---------------|----------------------|---------|--------|
| li-w=da (A) | | * | *! | |
| ☞ li-j=di (P) | | * | | * |

Some sample tableaux

• $A = 1p \text{ m sg}, P = 3p_{TOP} \text{ f sg}$

| di-l pat'imat | Agr-1 ∨ Agr-2 | AGR-3 _{TOP} | Agr-piv | Agr-GF |
|---------------|---------------|----------------------|---------|--------|
| j-us-u | | | | |
| ☞ li-w=da (A) | | * | * | |
| li-j (P) | *! | | | * |

 $\bullet A = 1p m sg, P = 2p f sg$

| di-l u j-us-u | Agr-1 ∨ Agr-2 | AGR-3 _{TOP} | Agr-piv | Agr-GF |
|---------------|---------------|----------------------|---------|--------|
| li-w=da (A) | | * | *! | |
| ☞ li-j=di (P) | | * | | * |

• $A = 3p_{TOP} m sg, P = 3p m sg$

| rasul-li pat'imat j-us-u | Agr-1 ∨ Agr-2 | AGR-3 _{top} | Agr-piv | Agr-gf |
|--------------------------------|---------------|----------------------|---------|--------|
| □ li-w (A) | * | | * | |
| li-j (P) | * | *! | | * |

• Ashti data do not support the Backward Control hypothesis



- Ashti data do not support the Backward Control hypothesis
- Gender agreement on the auxiliary merely reflects the gender feature of the person agreement controller



- Ashti data do not support the Backward Control hypothesis
- Gender agreement on the auxiliary merely reflects the gender feature of the person agreement controller
- The 3rd person is split based on topicality



- Ashti data do not support the Backward Control hypothesis
- Gender agreement on the auxiliary merely reflects the gender feature of the person agreement controller
- The 3rd person is split based on topicality
 - ► 1 vs. 2 determined by syntax (absolutive/P wins)

- Ashti data do not support the Backward Control hypothesis
- Gender agreement on the auxiliary merely reflects the gender feature of the person agreement controller
- The 3rd person is split based on topicality
 - 1 vs. 2 determined by syntax (absolutive/P wins)
 - 3 vs. 3 determined by topicality (topic wins)

- Ashti data do not support the Backward Control hypothesis
- Gender agreement on the auxiliary merely reflects the gender feature of the person agreement controller
- The 3rd person is split based on topicality
 - ▶ 1 vs. 2 determined by syntax (absolutive/P wins)
 - 3 vs. 3 determined by topicality (topic wins)
 - In other words, 1.2 > 3 > 3'

- Ashti data do not support the Backward Control hypothesis
- Gender agreement on the auxiliary merely reflects the gender feature of the person agreement controller
- The 3rd person is split based on topicality
 - 1 vs. 2 determined by syntax (absolutive/P wins)
 - 3 vs. 3 determined by topicality (topic wins)
 - In other words, 1,2 > 3 > 3'
 - This is typical of proximate-obviative systems, most of which only display the distinction in the 3rd person

- Ashti data do not support the Backward Control hypothesis
- Gender agreement on the auxiliary merely reflects the gender feature of the person agreement controller
- The 3rd person is split based on topicality
 - ▶ 1 vs. 2 determined by syntax (absolutive/P wins)
 - 3 vs. 3 determined by topicality (topic wins)
 - In other words, 1.2 > 3 > 3'
 - This is typical of proximate-obviative systems, most of which only display the distinction in the 3rd person
- This analysis is typologically more motivated, as similar hierarchical systems with this kind of obviation are well-known

- Ashti data do not support the Backward Control hypothesis
- Gender agreement on the auxiliary merely reflects the gender feature of the person agreement controller
- The 3rd person is split based on topicality
 - ▶ 1 vs. 2 determined by syntax (absolutive/P wins)
 - 3 vs. 3 determined by topicality (topic wins)
 - In other words, 1.2 > 3 > 3'
 - This is typical of proximate-obviative systems, most of which only display the distinction in the 3rd person
- This analysis is typologically more motivated, as similar hierarchical systems with this kind of obviation are well-known
- If we keep the clause structure multi-tiered, agreement patterns can be tied to clausal tiers rather than features

- Ashti data do not support the Backward Control hypothesis
- Gender agreement on the auxiliary merely reflects the gender feature of the person agreement controller
- The 3rd person is split based on topicality
 - ▶ 1 vs. 2 determined by syntax (absolutive/P wins)
 - 3 vs. 3 determined by topicality (topic wins)
 - In other words, 1.2 > 3 > 3'
 - This is typical of proximate-obviative systems, most of which only display the distinction in the 3rd person
- This analysis is typologically more motivated, as similar hierarchical systems with this kind of obviation are well-known
- If we keep the clause structure multi-tiered, agreement patterns can be tied to clausal tiers rather than features
- The OT approach of Belyaev (2013), slightly modified, can account for the relevant data

27 / 28

- Ackema, P., and A. Neeleman. 2013. "Subset controllers in agreement relations." Morphology 23 (2): 291-323.
- Aissen, J. 1997. "On the syntax of obviation." Language 73 (4): 705-750.
- Belyaev, O. 2013. "Optimal agreement at m-structure." In *Proceedings of the LFG13 Conference*, ed. by M. Butt and T. H. King. Stanford: CSLI Publications.
- Corbett, G. G. 2013. "The unique challenge of the Archi paradigm." Proceedings of BLS 37: 52-67.
- Crowhurst, M. J., and M. Hewitt. 1997. "Boolean operations and constraint interaction in Optimality Theory." ROA 229.
- Falk, Y. N. 2006. Subjects and Universal Grammar: an explanatory theory. Cambridge: Cambridge University Press.
- Haug, D., and T. Nikitina. 2015. "Feature sharing in agreement." Natural Language and Linguistic Theory. Forthcoming.
- Magometov, A. A. 1963. Kubačinskij jazyk. (Issledovanie i teksty). [Kubachi: Grammar and texts]. Tbilisi: Mecniereba.
- Sumbatova, N. 2011. "Person hierarchies and the problem of person marker origin in Dargwa: facts and diachronic problems." In Tense, aspect, modality and finiteness in East Caucasian languages, ed. by G. Authier and T. Maisak, 131–160. Diversitas Linguarum 30. Bochum: Universitätsverlag Dr. N. Brockmeyer.
- Sumbatova, N. R. 2014. "V poiskax podležaščego: kontrol' klassnogo soglasovanija i priznaki grammatičeskogo prioriteta v darginskom jazyke" [Looking for the subject: Gender agreement and grammatical priority in Dargwa]. In Jazyk. Konstanty. Peremennye, Pamjati Aleksandra Evgen'eviča Kibrika, [Language. Constants. Variables. In memoriam Alexander E. Kibrik]. Saint Petersburg: Aletheia.
- Sumbatova, N. R., and Y. A. Lander. 2015. Darginskij govor selenija Tanty: grammatičeskij očerk, voprosy sintaksisa [The Dargwa dialect of Tanti: A grammatical sketch, syntactic issues]. Moscow: Jazyki slavjanskix kul'tur.